

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Patent Application of: Ho Young KIM, et al.

Serial No.: New Application Group Art Unit: Not Yet Assigned

Filed: December 31, 2003 Examiner: Not Yet Assigned

Title: MODULATION FREQUENCY TUNABLE OPTICAL OSCILLATOR

INFORMATION DISCLOSURE STATEMENT

Honorable Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

December 31, 2003

Sir:

As a means of complying with the duty of disclosure under 37 CFR §1.56, and in accordance with 37 CFR §§1.97 and 1.98, Applicant(s), through the undersigned attorney, submits this Information Disclosure Statement. The patents, publications or other information submitted herewith are listed on the attached Form PTO-1449 and copies are attached.

In accordance with 37 CFR §1.97(b)(1) or (2), this Information Disclosure Statement is being filed either within three months of the filing date of the above-identified application, or within three months of the date of entry into the national stage of the above-identified application as set forth in 37 CFR §1.491. Accordingly, no fee is required.

Respectfully submitted,

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LIST OF PATENTS AND PUBLICATIONS FOR APPLICANT'S INFORMATION DISCLOSURE STATEMENT

ATTY. DOCKET NO.: P69429US0 GROUP ART UNIT: Not Yet Assigned
 SERIAL NO.: New Application FILING DATE: December 31, 2003
 APPLICANT(S): Ho Young KIM, et al. TODAY'S DATE: December 31, 2003

U.S. PATENT DOCUMENTS

*EXAMINER INITIAL	DOCUMENT NUMBER	DATE	NAME	INT'L CLASS	SUB- CLASS	FILING DATE (If Appropriate)
_____	AA	<u>5,917,969</u>	<u>6/29/99 Gavrilovic et al.</u>	<u>G02B</u>	<u>6/26</u>	<u>2/17/98</u>
_____	AB	<u>6,134,250</u>	<u>10/17/00 Koren et al.</u>	<u>H01S</u>	<u>3/30</u>	<u>5/14/98</u>
_____	AC	<u>H1,926</u>	<u>12/05/00 Carruthers et al.</u>	<u>H01S</u>	<u>3/00</u>	<u>4/01/97</u>

FOREIGN PATENT DOCUMENTS

	DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUB- CLASS	TRANSLATION (YES) (NO)
_____	AD	_____	_____	_____	_____	_____

OTHER ART (Including Author, Title, Date, Pertinent Pages, Etc.)

_____ AE Henrik Ahlfeldt et al.; "20GHz BANDWIDTH OF LASERS FLIP-CHIP-MOUNTED ON MICROSTRUCTURED CARRIERS WITH INTEGRATED ELECTRICAL WAVEGUIDES"; ECOC'98, 20-24; September 1998, Madrid, Spain; pp. 205-206.

_____ AF Hiroaki Sanjoh et al.; "A Precisely Spaced Multiwavelength Light Source with a Pulsed Serrodyne Frequency Shifter in an Optical Ring Circuit"; Tunable And Multiwavelength Lasers 3.3.5.

_____ AG Ho-Young Kim et al.; "Millimeterwave frequency modulated lasers using the wavelength dependent birefringences inside the coupled fiber cavity"; P-7; pp. 125-129.

EXAMINER

DATE CONSIDERED

* EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant(s).